

 <p>lechyd Cyhoeddus Cymru Public Health Wales</p>		<p><b>Name of Meeting</b> Quality, Safety and Improvement Committee</p> <p><b>Date of Meeting</b> 4 February 2025</p> <p><b>Agenda item:</b> 6.2.1</p>
<b>Audit Recommendations Tracker</b>		
<b>Executive lead:</b>	Paul Veysey, Board Secretary and Head of Board Business Unit	
<b>Author:</b>	Liz Blayney, Deputy Board Secretary and Deputy Head of Board Business Unit	
<b>Approval/Scrutiny route:</b>	<p>Leadership Team (Dec) Audit and Corporate Governance Committee (14 January 2025)</p> <p>Send to Quality, Safety and Improvement Committee, and the People and OD Committee for information at the next round of meetings following ACGC.</p>	

<p><b>Purpose</b></p> <p>The Leadership Team considers the Audit Tracker to track progress against agreed management actions in response to the recommendations of audit reviews.</p> <p>The purpose of this report is provide assurance to the Audit and Corporate Governance Committee on the management of Audit Actions.</p> <p>The Report was considered out of meeting by the Leadership Team and approved on 27 August 2024.</p>
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<b>Recommendation:</b>				
APPROVE <input type="checkbox"/>	CONSIDER <input checked="" type="checkbox"/>	RECOMMEND <input type="checkbox"/>	NOTE <input checked="" type="checkbox"/>	ASSURANCE <input type="checkbox"/>
<p><b>The Committee is asked to</b></p> <ul style="list-style-type: none"> <li>• <b>Consider</b> the updates to the Audit Tracker relevant to the Committee.</li> <li>• <b>Consider</b> any impact to the Committee's workplans / areas of focus.</li> </ul>				

## 1. Purpose / situation

The Leadership Team (LT) considers the Audit Tracker to track progress against agreed management actions in response to the recommendations of audit reviews.

The Tracker is submitted to the Audit and Corporate Governance Committee for Assurance following review by LT. The purpose of this report is to present the latest updates on the progress with the implementation of all actions from Internal and Audit Wales, and to outline the changes approved by LT for the closure of completed actions, and extensions to implementation dates.

Where the recommendations of an Audit are relevant to the remit of the other Committees, a copy of the tracker is submitted for information to the next Committee meeting following ACGC.

## 2. Background

### Role of the Committees:

#### **Audit and Corporate Governance Committee:**

The Audit and Corporate Governance Committee (ACGC) and has overall oversight of the Audit programme of work on behalf of the Board.

ACGC's oversight role is to:

- Ensure that there are effective Internal and External Audit functions that meets the standards set for the NHS in Wales.
- Oversee the results of audit and assurance work, and ensure that the implications of the findings of wider audit and assurance activity relevant to the Trust's operations are considered.
- Seek assurance on behalf of the Board on the adequacy of executive and managements response to issues identified by audit, inspection and other assurance activity, including ensuring timely implementation of any actions.

#### **Remit Committees:**

Where the subject matter of an audit report falls within the remit of one of the other Board Committees, the report is also submitted to that Committee, following consideration at ACGC.

The role of the Remit Committee is to receive the report and to consider the recommendations made in the context of its work plan, and the areas of focus within its remit. Where relevant, the information contained in the reports will then be used to inform discussions of items on the work plan for the Committee.

Further information on the roles and responsibilities relating to Audit can be found in the Audit Protocol available here: <https://phw.nhs.wales/about-us/publication-scheme/audit-protocol/>



### 3. Current Audit Tracker

The latest updated Tracker was last presented to LT on 10 December 2024 and ACGC on 14 January 2025.

Attached at Appendix 1 is a summary of the relevant recommendations to the Quality, Safety and Improvement Committee.

Several of the recommendations are confidential in nature, due to referencing Business Continuity. These recommendations are contained in the private agenda at item PM 5.1 for information.

### 4. Recommendation:

#### The Committee is asked to

- **Consider** the updates to the Audit Tracker relevant to the Committee.
- **Consider** any impact to the Committee's workplans / areas of focus.