

CORPORATE RISK REGISTER - 18.07.2024				RISK ARTICULATION				INHERENT SCORING			RESIDUAL (CURRENT) SCORING			DECISION	OVERALL RISK PROGRESS	TARGET SCORING		
Datix ID	Risk Theme	Identification Date	Executive Sponsor	Directorate	Risk Description	Cause	Effect	Likelihood	Consequence	Rating	Likelihood	Consequence	Rating			Likelihood	Consequence	Rating
1533	Adverse Publicity	14/06/2023	Director of Policy & International Health, WHO CC	Policy and International Health, WHO Collaborating Centre	There is a risk of reputational damage and failure to effectively implement the HIA statutory regulations that form part of the	This will be caused by a lack of capacity in the WHIASU team and limited knowledge, skills and capacity across PHW, outside of	The effect will be that PHW will not be able to fulfil its statutory duties either as a public body carrying out HIAs nor as a body which is required	4 Highly Likely	4 Major	15	4 Highly Likely	4 Major	16	Treat	28.06.2024 - recruitment is ongoing, interviews are pending.	2 Unlikely	2 Minor	4
1593	Statutory Duty	04/10/2021	Executive Director of Quality, Nursing and AHPs	Quality, Nursing and Allied Health Professionals	There is a risk that we are unable to demonstrate that the quality standards and the Duty of Quality are embedded in all aspects of PHW business.	This will be caused by organisational capacity and capability to operationalise and embed due to competing priorities.	The impact will be noncompliance with the legislative requirements, and a lack of progress in strengthening quality improvement and governance in the delivery of safe services, programmes and functions.	4 Highly Likely	3 Moderate	12	2 Unlikely	3 Moderate	6	Tolerate	11.07.2024 - The new Quality Oversight Group is expected to commence in July as is expected to make significant progress on the actions relating to this risk.	1 Highly Unlikely	2 Minor	2
1596	Human Resources	26/10/2023	Director of People and Organisational Development	People and Organisational Development	There is a risk that the organisation may not have the capacity or resources necessary to effectively deliver the long-term strategic plan	This risk arises from a challenging political and financial landscape, which includes staff time being redirected to contribute to the (Covid) public enquiry and an	Inability to deliver the long term strategy due to absence of strategic workforce planning	4 Highly Likely	4 Major	15	3 Likely	4 Major	12	Treat	03.06.2024 - Risk and actions were review at SMT on 21 May 2024. We are on target to complete the actions by the due date	2 Unlikely	4 Major	8
1614	Statutory Duty	17/01/2024	Board Secretary	Board Business Unit	There is a risk that PHW Board and Committees cannot take sufficient assurance that the NHS Wales Executive is carrying out its functions in accordance within the governance framework of PHW. The current hosting agreement provides for an annual accountability report and for RO / AO meetings which may not provide sufficient assurance across the year	The cause is that PHW's Assurance Framework is currently in draft form, not yet approved and implemented. The new assurance framework from April will plug the risk.	Sanctions imposed in accordance with Legislative/Regulatory Compliance, Reputational damage, Financial implications and data security vulnerabilities	3 Likely	3 Moderate	9	2 Unlikely	3 Moderate	6	Treat	09.07.2024 - The risk rating has been reviewed and reduced to the target score (6). The Assurance map is yet to be formally agreed, however the reporting to the Committees and BET has commenced in line with the schedule, pending further finalisation. Reports to ACGC in May, and QSIC in July are completed / scheduled. The risk will continue to be monitored and reviewed as this reporting structure is embedded, and will be reviewed in July. Regular meetings between Chief Executive and NHS continue.	2 Unlikely	3 Moderate	6
1648	Statutory Duty	24/06/2024	Director of Knowledge	Data, Knowledge and Research	There is a risk that Public Health Wales will lose access to Primary Care data.	This is due to Audit+ which is the tool to gather primary care data is being shut down.	The loss of Audit Plus without a replacement equivalent service would lead to PHW being unable to meet its statutory responsibilities.	5 Almost certain	4 Major	20	3 Likely	3 Moderate	9	Treat	18.07.2024 - This risk was escalated from the Directorate Risk Register to the Corporate Risk Register following discussion at Leadership Team.	2 Unlikely	3 Moderate	6
1654	Quality	07/07/2024	Director of People and Organisational Development	People and Organisational Development	Risk of inadequate follow up DBS checks undertaken by PHW following the initial DBS check at the recruitment/onboarding stage.	Shortcomings in the process following initial recruitment, out of line with best practice and guidance.	Inappropriate appointments made to positions of trust, lack of due diligence.	4 Highly Likely	5 Critical	20					18.07.2024 - A risk was proposed to be escalated onto the Corporate Risk Register. Following a Cause and Effect Analysis undertaken and presented to Leadership Team on the 18.07.2024, three risks were approved to be added to the Corporate Risk Register.			
1655	Patients and Clients (Clinical) Risks	07/07/2024	Executive Director of Quality, Nursing and AHPs	Quality, Nursing and Allied Health Professionals	Risk of harm to the population, specifically in relation to vulnerable groups such as children and elderly people, due to inappropriate appointments made to positions of trust.	Unsubstantiated individuals in positions of trust, interacting with vulnerable groups of population.	Potential abuse of position and exploitation of vulnerable members of the population. This would be measured through reported incidents or complaints, however, does not take into consideration unknown harm.	4 Highly Likely	5 Critical	20					18.07.2024 - A risk was proposed to be escalated onto the Corporate Risk Register. Following a Cause and Effect Analysis undertaken and presented to Leadership Team on the 18.07.2024, three risks were approved to be added to the Corporate Risk Register.			
1656	Adverse Publicity	07/07/2024	Board Secretary	Board Business Unit	Risk of significant damage to the reputation of the organisation should a member of staff be inappropriately appointed into a position of trust and an incident occur.	Significant or harmful event resulting in serious injury or death, committed by a member of staff without relevant DBS check in place or reviewed.	Detrimental and adverse impact on levels of public confidence and credibility. Potential impact on ability to administer advice and guidance for any future critical incident or event. Lack of credibility of the Board	4 Highly Likely	5 Critical	20					18.07.2024 - A risk was proposed to be escalated onto the Corporate Risk Register. Following a Cause and Effect Analysis undertaken and presented to Leadership Team on the 18.07.2024, three risks were approved to be added to the Corporate Risk Register.			
1531	Strategic Risk	01/06/2023	Director of Knowledge	Data, Knowledge and Research	There is a risk that we will fail to exploit data to inform and direct public health action and	This will be caused by data being held in silos, difficulty accessing the data and inability to access to	This will result in worse public health outcomes in Wales and increased information governance risk within	5 Almost certain	4 Major	20	5 Almost certain	4 Major	20	Treat	05.07.2024 - Discussed at DDDA and new actions shared. Agreed. For review	3 Likely	4 Major	12