

Local Audits 2020/21 (internally generated)

No.	Directorate	Division	Lead	Audit Title (what)	Scope/Aim (why)	Type of audit	Link to Health & Care Standard	Proposed Start Date	Proposed End Date	RAG rated				Comments
										Q1 Update (June)	Q2 Update (Sept)	Q3 Update (Dec)	Q4 (Year-end) Update	
L1	Public Health Services	BTW	Head of Nursing Screening Division	Re-audit to establish the accuracy and completeness of breast care nursing record keeping	To provide assurance that previous areas for improvements have been addressed	Documentation	3.5 Record Keeping	Dec-21	Mar-22					
L2	Public Health Services	BTW	Head of Nursing Screening Division	Breast Care Nursing Service User Experience Audit (South East)	To explore whether the support given by BTW BCN's to women diagnosed with breast cancer meet the minimum service standards for the BCN team in Breast Cancer Screening in Wales, and to make recommendations based on the analysis of the results	Local SOP/Guidance	3.1 Safe and clinically effective care; 4.1 Dignified Care	Apr-21	Mar-22					
L3	Public Health Services	WAAASP	Head of Programme	Audit of the accuracy of the ultrasound scan images	To ensure that screening staff are practicing within the agreed guideline	Local SOP/Guidance	3.1 Safe and clinically effective care	Ongoing	Mar-22					Due to the health protection response the AAA screening was paused between March 18th and August 17th. There will be a combined report for Q2 and Q3 available in April 2021. Expect to continue through 2021/22
L4	Public Health Services	WAAASP	Head of Programme	Audit of cease pending codes	A review of all records to assess the appropriateness of status codes will be undertaken to ensure that all staff are following the standard operating procedures	Local SOP/Guidance	3.5 Record Keeping	Ongoing	Mar-22					Undertaken as a monthly report and reviewed and updated by All Wales Administration Coordinator and the Regional Nurse Coordinators. This is routine part of programme work.
L5	Public Health Services	WAAASP	Regional Co-ordinators	Consent progress	To ensure that consent process is being followed by screeners	Local SOP/Guidance	3.5 Record Keeping , 2.7 Safeguarding Children & Audlts at Risk, 3.1 Safe and clinically effective care & 6.2 Peoples Rights	Sep-21	Nov-21					Regional co-ordinators to undertake work and to be verified.
L6	QNAHPs	Nursing and AHPs	Quality Lead	Re-audit: Nurse and AHPs Registration	To monitor registration of nursing and AHP personnel across PHW	Compliance	7.1 Workforce Governance, Leadership & Accountability	Apr-21	May-21					
L7	Operations and Finance	Estates, Safety and Facilities	Health and Safety Manager	Health and Safety Premise inspections	To audit premises where PHW staff are tenants or hosted with a Health Board. The audit primarily covers compliance to the Workplace (Health, Safety and Welfare) Regulations 1992 but additionally covers several Estates related Statutory regulations e.g. Regulatory Reform (Fire Safety) 2005, Control of Asbestos Regulations 2012 etc.	Compliance	2.1 Managing Risk and Promoting Health and Safety							Health and Safety audits in their original format remain on hold due to organisational demands. However over the course of 2020/21 risk assessments and audits have been undertaken to ensure actions are identified to mitigate the risks of Covid-19 transmission in the
L8	Health and Wellbeing	Primary Care Division	Project Manager	Audit of Health Boards Progress with Primary Care Model Review	Audit of the Integrated Medium-Term Plans (IMTPs) of Health Boards by the Primary Care Hub within Public Health Wales (PHW) to annually review progress towards meeting draft standards for visibility components within the Primary Care Model for Wales (PCMW)	Quality improvement/ progress monitoring	Governance, Leadership & Accountability	Ongoing	Ongoing					Continuous Programme.

L9	WHO CC / Policy and Int'l Health	International Health	Consultant in Public Health	To Evaluate the Charter for International Health Partnerships in Wales	To measure impact and plan future direction following the five-year Charter celebration event, as part of the 2019/20 Annual Plan.	Quality improvement/ progress monitoring	3.3 Quality Improvement, Research and Innovation	Sep-21	Mar-22							The work commenced in 2019 and put on hold for 2020/21 – to be reviewed in June 2021, pending release of staff currently supporting the National Health
L10	WHO CC / Policy and Int'l Health	Policy	Consultant in Public Health	Audit (improvement project) on implementation of the Well-Being of Future Generations Act	Following the introduction of the Wellbeing of Future Generations Act in 2015, a Health and Sustainability Hub was set up to support the organisation to embed the sustainable development principle. The audit (improvement project) will support the Hub and the organisation to understand the degree to which actions to date have supported staff to embed sustainable development in their day to day practice and identify opportunities for future action. The findings will also be used by the Hub to set its future work programme.	Quality improvement/ progress monitoring	3.3 Quality Improvement, Research and Innovation	Sep-21	Mar-22							Deferred to 2021-22 due to operational pressures
L11	Public Health Services	DESW	Programme Lead Nurse	(Re-audit) DESW participant documentation audit	To assess the compliance of using the approved documentation for the pre-appointment phone calls	Documentation / Local SOP	3.5 Record Keeping	1st Sept 2021	30th Sept 2021							
L12	Public Health Services	DESW	Programme Lead Nurse	Audit of the Visual Acuity SOP	To assess compliance with newly implemented Visual Acuity SOP	Documentation/ Local SOP	3.1 Safe and Clinically Effective Care	1st June 2021	1st July 2021							
L13	Public Health Services	DESW	Programme Lead Nurse	Audit of tropicamide SOP	To assess compliance with tropicamide SOP	Local SOP	3.1 Safe and Clinically Effective Care	1st July 2021	30th Sept 2021							
L14	Public Health Services	DESW	Programme Lead Nurse	No perception of light pathway	To assess compliance with the exclusion criteria	Local SOP	3.1 Safe and Clinically Effective Care	1st June 2021	1st August 2021							