

PROPOSED ESCALATED RISKS - 07.11.2024				RISK ARTICULATION			INHERENT SCORING			CONTROLS			RESIDUAL (CURRENT) SCORING			DECISION	Rationale for escalation	ACTION PLAN			TARGET SCORING		
Status ID	Risk Theme	Identification Date	Executive Sponsor	Directorate	Risk Description	Cause	Effect	Likelihood	Consequence	Rating	Key Controls	Likelihood	Consequence	Rating		Action Summary	Action Date	Action Date	Process	Likelihood	Consequence	Rating	
1244	Statutory Duty	04/09/2021	Deputy Chief Executive/ Executive Director of Operations and Finance	Operations and Finance	There is a risk that we are unable to meet and maintain Welsh Language Standards and WCAG 2.2 accessibility standards across the site and Public Health Wales web estate.	At present, there is a lack of central oversight or assurance over the web estate. The current governance arrangements are inadequate and we are unable to assure quality or compliance. Under current arrangements, the Communications Division is responsible for maintaining the quality and compliance of the whole web estate without having the control or governance mechanisms to achieve this.	Reputational damage of adverse publicity and impact on public trust, user complaints, poor user experience, potential legal implications, and enforcement action, including financial penalties.	5 Almost certain	4 Major	20	The Communications Division maintains a list of staff with administrative/other access to the web site. All those staff must meet minimum training standards for compliance. Communications liaison teams and advice within on legislative requirements when access is given. Teams are responsible for maintaining compliance. Periodic audits are undertaken to assess Welsh Language Standards and Accessibility Compliance. The Web Transformation project has established a Community of Practice for Digital Content Officers, ensuring that all COOs meet minimum training standards. Additionally, new publishing processes will be implemented in Q2 2024 to mandate a second verification step before publishing. Outline business case has been approved by BET. This includes: Web Project to work with PDD to undertake a consultation process to develop a new structure for Digital Communications, centralising content development roles. Embedding the newly published Content Development Standards; implement more robust governance mechanisms to assure quality before publication.	4 Highly Likely	3 Moderate	12	Escalate	In the event of a breach of compliance, Public Health Wales Chief Executive is the accountable officer, rather than Directorate, Division, Team or programme web site owners. Public Health Wales as an entity bears both legal responsibility for any compliance breach the impact of reputational damage and possibility of financial or other sanctions arising from a breach of compliance. At present there are 15 web sites across the Public Health Wales web estate, with management and editorial control of those sitting across a number of directorates. Controls are in place, however, in practice, at present these controls apply chiefly to our main web site. This is because management and oversight of the remaining 14 web sites sits outside of Operations and Finance Directorate (Communications Division). Therefore, we cannot provide assurance that key controls are being effectively and consistently implemented across our full web estate.	Control of key administrator access and reporting	30/11/2020	30/11/2020	1. Complete / Beta since November 2020 2. Complete / Beta since November 2020	2 Unlikely	2 Minor	4
1708	Business Objectives	05/11/2024	Executive Director of Quality, Nursing and ANPs	Nursing, Quality and Integrated Governance	There is a risk of clinical harm to staff sitting with an additional risk to service users	caused by insufficient clinical governance and quality assurance checks when WAST OH service is administering flu vaccinations as part of the annual flu programme in WPE	leading to a less effective front line clinical coverage of vaccination uptake leading to potential increase in illness amongst staff and transmission of the flu infection to service users.	3 Likely	3 Moderate	9	Service Level Agreement in place to deliver flu vaccination programme via WAST and 3 health boards for microbiology staff within the respective locality. Flu Vaccination Scheme. Self-reporting forms in place for staff. Internal staff flu vaccination communication plan. An internal flu delivery group which meets fortnightly between October and December to oversee the programme and monitor issues and progress. Fortnightly performance data collated and shared with the above group. Weekly data from WAST OH requested and being received. Fortnightly performance discussions with WAST OH manager. End of Programme annual report submitted to BET and QIC. Final uptake figures report to Welsh Government.	3 Likely	2 Minor	6	Escalate	A number of clinically related and performance related issues that have come to light since 1st October have led to ongoing concerns regarding the current provider's governance arrangements which has affected confidence in their ability to deliver the rest of the programme with staff further incidents of issues.	Performance Meeting	02/12/2024		First meeting arranged 5.11.24 and every 2 weeks thereafter	2 Unlikely	1 Negligible	2
1709	Adverse Publicity	05/11/2024	Executive Director of Quality, Nursing and ANPs	Nursing, Quality and Integrated Governance	There is significant risk of reputational damage to the organisation as an national public health body leading the vaccine preventable disease programme for Wales	caused by poor uptake of the annual flu vaccination offer by PWH staff leading to suboptimal performance reported nationally against other NHS Wales Providers	leading to unintended or unknown harm caused to population, decreased levels of NHS Wales and public confidence in the Trust and lack of compliance with WMC guidance.	4 Highly Likely	3 Moderate	12	Internal flu programme delivered via WAST and Health Board OH services. Flu voucher offer. Internal promotional Communications plan. Staff uptake data reporting and monitoring. Flu delivery Group. End of Flu Programme report	3 Likely	3 Moderate	9	Escalate	This is an organisational risk as a result of vaccination fatigue from our frontline staff, access to a flu vaccination via the current OH operating model and our ability to report uptake figures. Based on multiple providers a digital system used.	Monitoring Performance	02/12/2024		Meetings in place. Dashboard created awaiting OH health board data to be included. Review of OH tagging for frontline staff	1 Highly Unlikely	2 Minor	2