



 <p>GIG CYMRU NHS WALES   Iechyd Cyhoeddus Cymru Public Health Wales</p>	<p><b>Name of Meeting</b> Knowledge, Research and Information Committee</p> <p><b>Date of Meeting</b> 5 December 2024</p> <p><b>Agenda item:</b> 3.7.2</p>
---	--

<b>Corporate Risk Register</b>	
<b>Executive lead:</b>	Claire Birchall, Director of Nursing and Allied Health Professionals
<b>Author:</b>	Bethan Osborne, Risk Manager

<b>Approval/Scrutiny route:</b>	Corporate Risks have been scrutinised and updated by the relevant Directorate Senior Leadership Teams.
---------------------------------	--

<b>Purpose</b>
The Leadership Team have delegated responsibility to scrutinise the Corporate Risk Register on behalf of the Business Executive Team and ensure the ongoing management of corporate risks. This paper provides the corporate risks and any notable updates.

<b>Recommendation:</b>				
APPROVE <input type="checkbox"/>	CONSIDER <input checked="" type="checkbox"/>	RECOMMEND <input type="checkbox"/>	ADOPT <input type="checkbox"/>	ASSURANCE <input checked="" type="checkbox"/>
<p>The Committee is asked to:</p> <ul style="list-style-type: none"> <li>• <b>Consider</b> the Corporate Risk Register within the Committee’s remit.</li> <li>• <b>Take assurance</b> on the management of Corporate risks within the remit of the Committee.</li> </ul>				



### Link to Public Health Wales [Strategic Plan](#)

Public Health Wales has an agreed strategic plan, which has identified seven strategic priorities and well-being objectives.

This report contributes to the following:

<b>Strategic Priority/Well-being Objective</b>	All Strategic Priorities/Well-being Objectives
<b>Strategic Priority/Well-being Objective</b>	All Strategic Priorities/Well-being Objectives
<b>Strategic Priority/Well-being Objective</b>	All Strategic Priorities/Well-being Objectives

### Summary impact analysis

<b>Equality and Health Impact Assessment</b>	No decision required.
<b>Risk and Assurance</b>	This submission is the Corporate Risk Register.
<b>Health and Social Care (Quality and Engagement) (Wales) Act</b>	This report supports the implementation of the Health and Social Care (Quality and Engagement) (Wales) Act, in relation to the Duty of Quality and Candour by ensuring that the organisations most significant risks are being managed appropriately.
<b>Financial implications</b>	The financial implications of failing to manage corporate risk effectively are significant, both in terms of the potential for loss and also the failure to capitalise on opportunities.
<b>People implications</b>	No people implications.



## 1. Purpose / situation

This paper presents the organisation's corporate risks highlighting any significant updates that may require further discussion and any proposals for the escalation/de-escalation of risks from or onto the Corporate Risk Register. The Corporate Risk Register details the highest-level operational risks that are being managed on a day-to-day basis by relevant Directorate Senior Leadership Teams and their associated Executives. Leadership Team consideration provides assurance to the relevant Committees and the Board that corporate risks are being effectively identified and managed.

## 2. Background

The Corporate Risk Register is submitted to the Leadership Team to ensure compliance with the organisation's Risk policy and procedure.

## 3. Description/Assessment

The following significant points have been summarised to support the consideration of the Corporate Risk Register.

### New Risk proposed to be accepted onto the Corporate Risk Register

- **1708** - There is a risk of clinical harm to staff along with an additional impact to service users. This is caused by insufficient clinical governance and quality assurance checks when WAST OH service is administering flu vaccinations as part of the annual flu programme in PHW. The impact might be less effective front line clinical coverage of vaccination uptake leading to potential increase in illness amongst staff and transmission of the flu infection to service users.

Inherent Risk Rating	Residual Risk Rating	Target Risk Rating
9	6	2

**Rationale for escalation** - A number of clinically and performance related issues that have been identified since 1st October have led to ongoing concerns regarding the current providers governance arrangements which has affected confidence in their ability to deliver the rest of the programme without further incidents or issues.



- **1709** - There is significant risk of reputational damage to the organisation as the national public health body leading the vaccine preventable disease programme for Wales. This is caused by poor uptake of the annual flu vaccination offer by PHW staff leading to suboptimal performance reported nationally against other NHS Wales Providers. The impact might be unintended or unknown harm caused to population, decreased levels of NHS Wales and public confidence in the Trust and lack of compliance with WHC guidance.

Inherent Risk Rating	Residual Risk Rating	Target Risk Rating
12	9	2

**Rationale for escalation** - This is an organisational risk as a result of 'vaccination fatigue' for our frontline staff, accessibility for flu vaccination and it being delivered by an external partner organisation and a lack of intuitive process to report uptake figures because of multiple providers utilising various digital systems.

### Existing Risk proposed to be escalated onto the Corporate Risk Register

- 1244 - There is a risk that we are unable to meet and maintain Welsh Language Standards and WCAG 2.2 accessibility standards across the end to end Public Health Wales web estate. At present, there is a lack of central oversight or assurance over the web estate. The current governance arrangements are inadequate and we are unable to assure quality or compliance. Under current arrangements, the Communications Division is responsible for maintaining the quality and compliance of the whole web estate without having the control or governance mechanisms to achieve this. The impact might be reputational damage through adverse publicity and impact on public trust; user complaints; poor user experience; potential legal implications; and enforcement action, including financial penalties.

Inherent Risk Rating	Residual Risk Rating	Target Risk Rating
20	12	4

**Rationale for escalation** - In the event of a breach of compliance, Public Health Wales' Chief Executive is the accountable officer, rather than Directorate, Division, Team or Programme web site owners. Public Health Wales as an entity bears both legal responsibility for any compliance breach and the impacts of reputational damage and possibility of financial or other sanctions arising from a breach of compliance. At present there are 15 web sites across the Public Health Wales web estate, with management and editorial control of these sitting across a number of Directorates. Controls are in place but primarily only to our main web site. This is because management and oversight of the remaining 14 web sites sits outside of the Operations and Finance Directorate (Communications



Division). Therefore, we cannot provide assurance that key controls are being effectively and consistently implemented across our full web estate.

### Risk proposed to the de-escalated

- None

### Changes to Risk Scores

- **1533** – There is a risk of reputational damage and failure to effectively implement the HIA statutory regulations that form part of the Public Health (Wales) Act which requires Public Health Wales to give assistance to other public bodies carrying out health impact assessments.

The residual risk score has been reduced from 16 to 9.

- **1593** – There is a risk that we are unable to demonstrate that the quality standards and the Duty of Quality are embedded in all aspects of PHW business.

The inherent risk score has been reduced from 12 to 9.

### Merging of Risks

- **1541** – There is a risk of harm to service users and employees within PHW, specifically in relation to vulnerable groups such as children and adults, due to the absence of regular disclosure and barring service checks.

The risk relating to DBS checks that was split into three different risks (1654, 1655 and 1656) in July has been merged back into one risk. This is a result of collaboration between the Corporate Safeguarding Lead and leads in People and Organisational Development. It has been identified that the action plan, controls and mitigations address the risk in its entirety. The risk will be owned by People and Organisational Development to ensure that a coordinated approach is taken to address the risk.

## 3.1 Well-being of Future Generations (Wales) Act 2015

*This work has been put together following the five ways of working, as defined within the sustainable development principle in the Act, in the following ways:*



Hirdymor

Long Term

*The effective management of corporate risks supports the longevity of the organisation*



Atal

Prevention

*The effective management of corporate risks reduces the likelihood or consequence of harm being realised.*



Integreiddio

Integration

The identification and management of risks are integrated into decision making activities.



Cydwethio

Collaboration

Owners of corporate risks collaborate within their areas and any relevant Directorates to manage risks effectively.



Cynnwys

Involvement

Senior Managers engage with relevant colleagues to ensure staff are empowered to raise risks.

#### 4. Recommendation

The Committee is asked to:

- **Consider** the Corporate Risk Register within the Committee's remit.
- **Take assurance** on the management of Corporate risks within the remit of the Committee.