

CORPORATE RISK REGISTER - 06.01.2025 v2				RISK ARTICULATION			INHERENT SCORING			CONTROLS			RESIDUAL (CURRENT) SCORING			DECISION	OVERALL RISK	ACTION PLAN			TARGET SCORING		
Status ID	Risk Theme	Identification Date	Executive Sponsor	Directorate	Risk Description	Cause	Effect	Likelihood	Consequence	Rating	Key Controls	Likelihood	Consequence	Rating		PROGRESS	Action Summary	Action Due date	Action Done date	Progress	Likelihood	Consequence	Rating
1648	Statutory Duty	24/06/2024	National Director for Public Health Knowledge and Research	Research, Data and Digital	There is a risk that Public Health Wales will lose access to Primary Care data.	This is due to Auditee (the current hold) used to gather primary care data is being shut down in December 2024 and there will be no further updating of Auditee from July 2024.	The loss of Auditee without a replacement equivalent service would lead to PRW being unable to meet its statutory responsibilities.	5 Almost certain	4 Major	30	<p>DHCW have secured support until March 2025, so likelihood of risk will be downgraded. It is expected that the National Data Resource will develop an alternative solution during this time. PRW should work with NDR to ensure the solution is suitable for our needs.</p> <p>Impact assessment completed and shared with DHCW and colleagues in wider NHS.</p> <p>Impact assessment received from DHCW regarding system wide assessment including GAs. Team members across PRW are sharing updates and coordinating efforts to replace functionality.</p> <p>DDDA spending oversight with DDDA members being the mechanism for escalation and cascade of issues.</p> <p>DHCW have acknowledged that PRW are service user of primary care data.</p> <p>DHCW proposed a 3 lot solution for replacing Auditee and have documented the majority of PRW needs. WS and HSE/EC are actively involving PRW in considerations and management of the replacement.</p> <p>DHCW will hold a supplier meeting with 30 potential suppliers on 8 September, and will provide a Delivery Confidence Assessment the week after.</p> <p>Auditee contract extended to 31/03/2025. Post DCA remains and PRW Business Continuity Impact Assessment and Business Continuity Plans will be updated.</p>	1 Likely	3 Moderate	3	Treat	<p>06/02/2024 - DDDA reviewed this risk today. An update was provided by Fionn Bence that DHCW have confirmed that Auditee will continue to be available until March 2025. It is highly anticipated that the NDR will be able to build an alternative solution during this time (this was not possible before due to the limited time, it was agreed that the mitigation should be updated to reflect this and the likelihood scoring being significantly reduced. This is now a target of a DDDA with this to remain a corporate risk as involved from PRW is required to ensure that the alternative development meets the needs of as key stakeholders. DDDA wish to monitor this.</p> <p>06/02/2024 - DDDA reviewed this risk today. An update was provided by Fionn Bence that DHCW have confirmed that Auditee will continue to be available until March 2025. It is highly anticipated that the NDR will be able to build an alternative solution during this time (this was not possible before due to the limited time, it was agreed that the mitigation should be updated to reflect this and the likelihood scoring being significantly reduced. This is now a target of a DDDA with this to remain a corporate risk as involved from PRW is required to ensure that the alternative development meets the needs of as key stakeholders. DDDA wish to monitor this.</p>	<p>All directors/leaders setting Auditee to update and be ready to implement Business Continuity plans.</p> <p>30/11/2024</p> <p>Health Protection and Screening Services Directorate - To update the Business Continuity Impact Assessment and Business Continuity Plans, to reflect the impact of Auditee removal/not updating and mitigations. Plans and assessment to be uploaded into this risk as supporting documentation by 30 November 2024.</p> <p>30/11/2024</p> <p>Research, Data and Digital Directorate - To update the Business Continuity Impact Assessment and Business Continuity Plans, to reflect the impact of Auditee removal/not updating and mitigations. Plans and assessment to be uploaded into this risk as supporting documentation by 30 November 2024.</p> <p>30/11/2024</p> <p>Accounting, Quality and Integrated Governance Directorate - To update the Business Continuity Impact Assessment and Business Continuity Plans, to reflect the impact of Auditee removal/not updating and mitigations. If updated plans and assessment to be uploaded into this risk as supporting documentation by 30 November 2024.</p> <p>30/11/2024</p> <p>Operations and Finance Directorate - To update the Business Continuity Impact Assessment and Business Continuity Plans, to reflect the impact of Auditee removal/not updating and mitigations. If updated plans and assessment to be uploaded into this risk as supporting documentation by 30 November 2024.</p> <p>30/11/2024</p> <p>Policy and International Health Directorate - To update the Business Continuity Impact Assessment and Business Continuity Plans, to reflect the impact of Auditee removal/not updating and mitigations. If updated plans and assessment to be uploaded into this risk as supporting documentation by 30 November 2024.</p> <p>07/11/2024</p> <p>07/11/2024 - TB confirmed by email that Auditee is not used within the Policy and International Health D</p>	2 Unlikely	2 Minor	2			
1531	Strategic Risk	01/06/2023	National Director for Public Health Knowledge and Research	Research, Data and Digital	There is a risk that we will fail to exploit data to inform and direct public health action and interventions.	This will be caused by data being held in silos, difficulty accessing the data and inability to access to provide the impact on public health.	This will result in worse public health outcomes in Wales and increased information governance risk within Public Health Wales.	5 Almost certain	4 Major	30	<p>Approval and implementation of research in evaluation and digital & data strategies for Public Health Wales.</p> <p>Developing our data storage, access and linking as part of the Local Data Resource and contributing/interacting with DHCW for other data needs in Health Care.</p> <p>Recruitment into new investment pools progressing quickly to bring in additional skills.</p> <p>Ensure we maximise exploitation of our data and information.</p> <p>Sources of assurance are: RIBC on behalf of Board, Internal Audit, Management Control.</p> <p>Digital and Data Design Authority will review the risks and mitigations in a quarterly basis to ensure appropriate governance of controls are maintained.</p> <p>Development of job families for Data Analysts and Evaluation roles to enable recruitment and retention of key skills to PRW. Workforce planning.</p> <p>Digital and Data portfolio agreed and progress monitored at DDDA with quarterly assurance of Delivery Confidence Assessment provided to BET.</p>	5 Almost certain	4 Major	30	Treat	<p>06/02/2025 - Risk presented at DDDA. DHCW MTP will be discussed at a DDDA workshop 20/25 Jan 2025 to understand the priorities and update the DCA as necessary. This will help identify missing shortfalls vs 15/06/2024 - Following publication of the Digital & Data Strategy and Research & Evaluation Strategy, we have now moved into implementation phase. A Digital and Data route map has been agreed and the priority projects are in the process of being implemented. The priorities for this year include: piloting use of NDR on cancer data, agreement of cloud strategy and completion of next phase of health protection digital. It is planned to begin migration to a strategic analytical toolset and storage system in March 2026. This will bring our analytical data into a single environment and considerably lower the risk.</p> <p>The operational system priorities are health protection, breast and bowel cancer screening.</p> <p>Creation of job families across data science and analysis and research and evaluation. Agree the competency framework for the data job family - Sept 2024</p> <p>Agree standardised IDs for the data job family - March 2025</p> <p>Agree the competency framework for the other DCA job families - Sept 2025</p> <p>Agree the research DCA IDs - March 2026</p> <p>To recruit and retain key hire/specialist skills to support the digital and data strategy and R&E strategies.</p> <p>- Project managers for key project by September 2024</p> <p>- cloud engineer - September 2024</p> <p>- digital and data job families and retention plan</p> <p>- workforce plan to include all the above due in November 2024</p> <p>Align MTP Milestones to Digital & Data Route map</p> <p>Set up a workshop in Jan 2025 with the DDDA membership to discuss all the MTP milestones to assess Digital and Data dependencies and how this aligns with the D&D route map. The outcome of the workshop will be to: <ul style="list-style-type: none"> obtain a full overview and nature of D&D dependencies confirm if included in route map or needs to go on backlog prioritisation and sequencing of programmes </p>	31/05/2026	19/06/2024 - A Digital and Data route map has been agreed and the priority projects are in the process of being implemented. There are new key milestones added to the Action Plan that are aligned to the Digital and Data Route map.	3 Likely	4 Major	32		
1677	Quality	30/04/2024	Executive Director of Nursing, Quality and Integrated Governance	Nursing, Quality and Integrated Governance	There is a risk that the integrity of the data for recording risks to Data Web. In addition, Data Cloud does not include PRW/NHSWE specific requirements.	This is caused by less functionality in Data Cloud in comparison to Data Web. In addition, Data Cloud does not include PRW/NHSWE specific requirements.	Failure to effectively manage risks resulting in inability to achieve strategic objectives	3 Likely	3 Moderate	9	Continuation of the use of Data Web	3 Likely	3 Moderate	9	Treat	23/01/2025 - Accepted onto the Corporate Risk Register				2 Unlikely	2 Minor	4	

1678	Quality	30/04/2024	Executive Director of Nursing, Quality and Integrated Governance	Nursing, Quality and Integrated Governance	There is a risk that the organisation will fail to provide sufficient assurance that it is identifying and managing risks effectively through the endorsed Risk Management Procedure and failing to identify themes and trends.	This is caused by inconsistencies of appropriate utilisation of Data across the organisation, contrary to the approved process.	The effect might be a loss in board confidence and omission of reportable risks at all levels. In addition, a failure to mitigate improvement projects resulting in potential harm to service users, reputational damage and financial implications.	5 Almost certain	3 Moderate	15	Approved Risk Policy and Procedure	5 Almost certain	3 Moderate	15	Treat	23/01/2025 - Accepted onto the Corporate Risk Register							2 Unlikely	2 Minor	4
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