

RAG Rating/Status

At risk	Red - Action date passed or revised date needed
On track	Yellow - Action on target to be completed by agreed/revised date
Complete	Green- Action complete
No longer needed	Blue - Action to be removed and/or replaced by new action

AUDIT AND CORPORATE GOVERNANCE COMMITTEE									
Meeting Item Reference	Action Reference	Lead	Meeting Item Title	Details of action	Update on progress	Original target date	Revised target date	RAG rating/Status	
OPEN ACTIONS FOR REVIEW									
None									
OPEN ACTIONS - IN PROGRESS BUT NOT YET DUE									
ACGC 8.2/2025.09.30	ACGC 2025/12	CB	Information Governance Quarterly Report	The Committee suggested that the FoI disclosure log on the website was out of date and required updating. CB agreed to review this with the team.	<p>March 2026 Update: The date for the launch of the website (in beta version) has been further delayed and NQIG will not be able to update the information requested before the current deadline. An extension is requested to 30 September 2026 to allow us to complete this work. Request extension to 30 September 2026.</p> <p>December 2025 Update: The FOI Disclosure Log has not been updated pending the launch of the new website. Advice received from the website programme leads was to wait until the new website was up and running before updating, currently there is a backlog of around 150 to add. Suggest a revised target date of 31 March 2026 when the new website will have been activated with sufficient time to add the backlog of items to the Disclosure Log. Request Extension to 31 March 2026.</p>	16 December 2025	30 September 2026	On Track	
ACTIONS RECOMMENDED TO BE CLOSED AT 07 MAY 2026 MEETING									
ACGC 3.1/2025.03.23	ACGC 2026/2	AM	NHS Wales Performance and Improvement, Internal Audit	The Finance Management (Substantial Assurance) Final Internal Audit Report with no findings raised, had not been included in the papers in error and would be circulated to the Committee and included with the next meeting's papers.	<p>May 2026 Update: This paper was circulated to the Committee on 24 March 2026 and included in the Meeting Papers for the 7 May 2026 meeting. Request Action is closed.</p>	07 May 2026		Request Action is closed	
ACGC 7.3/2025.03.23	ACGC 2026/4	AM	Audit Recommendations Tracker	The Committee requested that the report columns be amended to ensure readability.	<p>May 2026 Update: This SharePoint List was expanded to the maximum possible to ensure readability. Where the entirety of the text was not visible an individual print out has been provided in addition to the main List. Request Action is closed.</p>	07 May 2026		Request Action is closed	
ACGC 8.2/2025.03.23	ACGC 2026/6	CB	Corporate Risk Register	CB acknowledged the issues and undertook to review the Register, strengthen processes, and provide clearer assurance through more detailed action reviews.	<p>May 2026 Update: A verbal update will be provided at the meeting. Request Action is closed.</p>	07 May 2026		Request Action is closed	
ACGC 7.3/2025.03.23	ACGC 2026/5	LB/AW	Audit Recommendations Tracker	AW provided an update on the review of policies outlined in action 516 and suggested meeting with LB to review the overall progress and would provide an update at the next meeting.	<p>May 2026 Update: LB/AW reviewed the audit recommendation and current progress with Health and Safety policies on the workplan. The seven out of date Health and Safety policies referenced in Audit Recommendation 516 (2023) were reviewed and re-approved during 2023-24 and are now in date, with next scheduled reviews in 2026/27. Additional Health and Safety-related policies (e.g. bomb threat, waste and security), while not referenced in the original audit scope, are being progressed separately as part of the routine policy review cycle and updates on these are provided as part of the quarterly progress reports on the audit recommendation. The Health and Safety Group is reviewing the workplan and out of date policies, and arrangements are being strengthened and supported by the Ops and Finance Directorate Governance and General Manager to ensure policies are reviewed proactively ahead of their review dates to maintain in-date status. Suggest action closed on this basis. Request Action is closed.</p>	07 May 2026		Request Action is closed	
ACGC 3.1/2025.03.23	ACGC 2026/1	PV	NHS Wales Performance and Improvement - Audit and Corporate Governance Committee Assurance Report	KY and PV proposed a session with new Committee members to provide an overview of the arrangements in place for assurance relating to hosted organisations and the role of the Committee.	<p>May 2026 Update: A meeting has been scheduled for 9 June 2026. Request Action is closed.</p>	07 May 2026		Request Action is closed	
ACGC 7.1/2025.03.23	ACGC 2026/3	PV	Internal Audit Progress Report, Internal Final Audit Reports and Internal Audit Work Plan 2026/27	The Committee sought clarity on the Committee's role for the Work Plan, as the Terms of Reference indicated the Committee had an assurance rather than approval function. PV agreed to provide a resolution to the Committee.	<p>May 2026 Update: The TOR have been reviewed and the role of the Committee is assurance. NWSSP are reviewing how other organisations manage approval of the internal audit plan and the outcome of that review will be factored into the annual review of the committee Terms of Reference for the Committee to consider. Request Action is closed.</p>	07 May 2026		Request Action is closed	
ACGC 9.5/2025.03.23	ACGC 2026/7	PV	Bi Annual Governance Update	The Committee noted the work undertaken and queried the applicability of certain Welsh Health Circulars (WHCs), citing an example relating to Artificial Intelligence and Ambient Technologies. PV explained the process for reviewing WHCs and agreed to reassess the relevant circular and update the list if required.	<p>May 2026 Update: A full list of compliance was provided in the Annual report. Request Action is closed.</p>	07 May 2026		Request Action is closed	