

# Open Session: Part A and B - Audit and Corporate Governance Committee / Sesiwn Agored: Pwyllgor Archwilio a Llywodraethu Corfforaethol



Thu 08 May 2025, 10:45 - 13:00

CQ2 3.3

The Open Agenda of the Audit and Corporate Governance Committee meeting comprises of two parts / Mae dwy ran i gyfarfod Agenda Agored y Pwyllgor Archwilio a Llywodraethu Corfforaethol:

- Part A for Public Health Wales Business / Rhan A ar gyfer Busnes Iechyd Cyhoeddus Cymru
- Part B for NHS Executive (Hosted Body) Business / Rhan B ar gyfer Busnes Gweithrediaeth y GIG (Corff a Letyir)

## Agenda

**10:45 - 10:50** **1. Welcome, Introductions and Apologies for Absence / Croeso, Cyflwyniadau ac Ymddiheuriadau am Absenoldeb**  
5 min

(10:45 - 10:50) (5 minutes/munud)

**10:50 - 10:50** **2. Declarations of Interest / Datgan Buddiannau**  
0 min

(10:50 - 10:50) (0 minutes/munud)

## Part B / Rhan B

**10:50 - 11:05** **3. NHS Executive / Gweithrediaeth y GIG**  
15 min

**Claire Green**

National Director of Financial Planning and Delivery and Responsible Officer, NHS Executive / Cyfarwyddwr Cenedlaethol Cynllunio a Darparu Ariannol a Swyddog Cyfrifol, Gweithrediaeth y GIG

**3.1. Annual Assurance Statement / Datganiad Sicrwydd Blynyddol**

(10:50 - 10:55) (5 minutes/munud)

3.1 ACGC 2025\_05\_08 - NHS Executive - Responsible Officer Annual Compliance Statement 2024-25.pdf (6 pages)

**3.2. NHS Executive Governance Quarterly Report / Adroddiad Chwarterol Llywodraethu Gweithredol y GIG**

(10:55 - 11:00) (5 minutes/munud)

Please note the Declarations of Interest Register Attachment to this report has been provided to the Committee in Part B Private Session. / Sylwch fod y Gofrestr Datganiadau o Fuddiannau sy'n atodedig i'r adroddiad hwn wedi'i ddarparu i'r Pwyllgor mewn Sesiwn Breifat Rhan B

3,2 ACGC 2025\_05\_08 - NHS Executive - Quarterly Assurance Report - Dec 24 to Mar 25.pdf (8 pages)

3.2 ACGC 2025\_05\_08 - Attachment - NHS Wales Executive Agreements Register 2024-25.pdf (1 pages)

**3.3. NHS Executive Internal Audit Workplan / Cynllun Gwaith Archwilio Mewnol Gweithrediaeth y GIG**

(11:00 - 11:05)

(5 minutes/munud)

📄 3.3 ACGC 2025\_05\_08 - NHS Executive - 2025-26 - Internal Audit Plan.pdf (5 pages)

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## Part A / Rhan A

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11:05 - 11:30  
25 min

### 4. Records Management Deep Dive / Golwg Manwl ar Reoli Cofnodion

(11:05 - 11:30 (25 minutes/munud)

#### Stuart Silcox

Assistant Director of Integrated Governance/Cyfarwyddwr Cynorthwyol Llywodraethu Integredig

#### John Lawson

Head of Information Governance / Pennaeth Llywodraethu Gwybodaeth

📄 4 ACGC 2025\_04\_08 - Records Management Deep Dive - Presentation.pdf (7 pages)

11:30 - 11:40  
10 min

### Break / Egwyl

(11:30 - 11:40 - 10 minutes/munud)

11:40 - 12:00  
20 min

### 5. Annual Report / Adroddiad Blynyddol

📄 5 ACGC 2025\_05\_08 - Annual Report - Cover report .pdf (4 pages)

#### 5.1. Accountability Report / Adroddiad Atebolrwydd

(11:40 - 11:45)

#### Paul Veysey

Board Secretary and Head of the Board Business Unit / Ysgrifennydd y Bwrdd a Phennaeth Uned Fusnes y Bwrdd

#### 5.2. Annual Financial Statements / Datganiadau Ariannol Blynyddol

(11:45 - 12:00) (15 minutes/munud)

#### Angela Williams

Interim Executive Director of Finance and Operations / Cyfarwyddwr Gweithredol Cyllid a Gweithrediadau Dros Dro

To follow on Monday 5 May following submission.

12:00 - 12:30  
30 min

### 6. Internal, External and Clinical Audit / Archwilio Mewnol, Allanol a Chlinigol

#### 6.1. Internal Audit / Archwilio Mewnol

(12:00 - 12:10) (10 minutes/munud)

#### For Assurance / I'w sicrhau

#### Paul Dalton

Head of Internal Audit, NHS Wales Shared Services Partnership - Audit and Assurance Services / Pennaeth Archwilio Mewnol, Partneriaeth Cydwasaethau GIG Cymru - Gwasanaethau Archwilio a Sicrwydd

- Draft Head of Internal Audit Opinion and Annual Report / Barn Pennaeth Archwilio Mewnol ac Adroddiad Blynyddol
- Progress Report / Adroddiad Cynnydd
- Report / Adroddiad:

- Duty of Quality / Dyletswydd Ansawdd

- 📄 6.1 ACGC 2025\_05\_08 - Internal Audit - Draft Head of Internal Audit Opinion and Annual Report 2024-25.pdf (22 pages)
- 📄 6.1 ACGC 2025\_05\_08 - Internal Audit - Progress report.pdf (6 pages)
- 📄 6.1 ACGC 2025\_05\_08 - Internal Audit - Duty of Quality Final Report.pdf (8 pages)

## 6.2. External Audit / Archwilio Allanol

(12:10 - 12:20) (10 minutes/munud)

**For Assurance / I'w sicrhau**

### Anne Beegan

Audit Wales / Archwilio Cymru

- Audit Wales Update / Diweddariad Archwilio Cymru
- Reports / Adroddiadau:
  - Well Being / Lles
  - Cancer Services / Gwasanaethau Canser

- 📄 6.2 ACGC 2025\_05\_08 - Audit Wales - Progress Report.pdf (10 pages)
- 📄 6.2 ACGC 2-25\_05\_08 - Audit Wales - Wellbeing Objectives.pdf (18 pages)
- 📄 6.2 ACGC 2025\_05\_08 - PHW WBO Mgt Response - FINAL.pdf (4 pages)
- 📄 6.2 ACGC 2-25\_05\_08 - Audit Wales - Cancer Services in Wales Report.pdf (72 pages)
- 📄 6.2 ACGC 2-25\_05\_08 - Audit Wales - Cancer Services Report - WG Management Responses.pdf (9 pages)

## 6.3. Audit Recommendations Tracker / Traciwr Argymhellion Archwilio

(12:20 - 12:25) (5 minutes/munud)

**For Assurance / I'w sicrhau**

### Liz Blayney

Deputy Board Secretary and Deputy Head of Board Business Unit / Dirprwy Ysgrifennydd y Bwrdd a Dirprwy Bennaeth Uned Fusnes y Bwrdd

## 6.4. Audit Protocol / Protocol Archwilio

(12:25 - 12:30) (5 minutes/munud)

**For Approval / I'w Cymeradwyo**

### Liz Blayney

Deputy Board Secretary and Deputy Head of Board Business Unit / Dirprwy Ysgrifennydd y Bwrdd a Dirprwy Bennaeth Uned Fusnes y Bwrdd

- 📄 6.4 ACGC 2025\_05\_08 - Audit Protocol Review.pdf (2 pages)
- 📄 6.4 ACGC 2025\_05\_08 - Draft Audit Protocol.pdf (4 pages)

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## 12:30 - 12:35 7. Risk / Risg

5 min

### 7.1. Corporate Risk Register / Cofrestr Risgiau Corfforaetho

(12:30- 12:35) (5 minutes/munud)

#### Danielle Gething

Head of Risk Management

- 📄 7.1 ACGC 2025\_05\_08 - ACGC - CRR Cover Paper 08.05.2025.pdf (10 pages)
- 📄 7.1 ACGC 2025\_05\_08 - ACGC - Corporate Risk Register.pdf (3 pages)

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## 12:35 - 12:45 8. Governance and Accountability / Llywodraethu ac Atebolrwydd

10 min

## 8.1. Minutes 10 March 2025, Action Log and Matters Arising / Cofnodion 10 Mawrth 2025, Log Gweithredu a Materion Yn Codi Cyfarfod

(12:35 - 12:40) (5 minutes/munud)

### For Approval / I'w Cymeradwyo

- 📄 8.1 ACGC 2025\_05\_08 - ACGC Public Unconfirmed Minutes Parts A and B 10 March 2025.pdf (11 pages)
- 📄 8.1 ACGC 2025\_05\_08 - ACGC Public Unconfirmed Minutes Parts A and B 10 March 2025 Welsh.pdf (11 pages)
- 📄 8.1 ACGC 2025\_05\_08 - Open Action Log.pdf (1 pages)

## 8.2. Information Governance Quarterly Report / Adroddiad Chwarterol Llywodraethu Gwybodaeth

(12:40 - 12:45) (5 minutes/munud)

### For Assurance / I'w sicrhau

#### Stuart Silcox

Assistant Director of Integrated Governance / Cyfarwyddwr Cynorthwyol Llywodraethu Integredig

- 📄 8.2 ACGC 2025\_05\_08 - Q4 (24-25) Integrated Governance Performance Report.pdf (7 pages)

12:45 - 12:55  
10 min

## 9. For Recommendation to Board / Er Argymell i'r Bwrdd

### 9.1. Standing Orders and Scheme of Delegation / Rheolau Sefydlog a Chynllun Dirprwyo

(12:45 - 12:50) (5 minutes/munud)

#### Paul Veysey

Board Secretary and Head of the Board Business Unit / Ysgrifennydd y Bwrdd a Bennaeth Uned Fusnes y Bwrdd

- 📄 9.1 ACGC 2025\_05\_08 - Standing Orders.pdf (5 pages)
- 📄 9.1 ACGC 2025\_05\_08 - Appendix 1 - Draft Standing Orders V13.pdf (74 pages)

### 9.2. Committee Review 2024/25 and Forward Plan 2025/26 / Adolygiad Pwyllgor 2024/25 a Blaengynllun 2025/26

(12:50 - 12:55) (5 minutes/munud)

#### Liz Blayney

Deputy Board Secretary and Deputy Head of the Board Business Unit / Dirprwy Ysgrifennydd y Bwrdd a Dirprwy Bennaeth Uned Fusnes y Bwrdd

- **Appendix 1 - Review of Committee Effectiveness / Adolygiad o Effeithiolrwydd Pwyllgorau**
- **Appendix 2 - Committee Forward Look for 2025/26 / Rhagolwg y Pwyllgor ar gyfer 2025/26**
- **Appendix 3 - Committee Terms of Reference Review /Adolygu Cylch Gorchwyl y Pwyllgor**

- 📄 9.2 ACGC 2025\_05\_08 - Committee Review - Cover report.pdf (6 pages)
- 📄 9.2 ACGC 2025\_05\_08 - Appendix 1 - Committee Effectiveness Survey Summary.pdf (19 pages)
- 📄 9.2 ACGC 2025\_05\_08 - Appendix 2 - Committee Work Plan 2025 - 2026.pdf (3 pages)
- 📄 9.2 ACGC 2025\_05\_08 - Appendix 3 - ACGC TOR DRAFT.pdf (9 pages)

12:55 - 12:55  
0 min

## 10. For Information / Er Gwybodaeth

(0 minutes/munud)

### 10.1. Finance, Procurement and Counter Fraud / Cyllid, Caffael ac Atal Twyll

None / Dim

### 10.2. Internal, External and Clinical Audit / Archwilio Mewnol, Allanol a Chlinigol

None / Dim

### **10.3. Managing Risk / Rheoli Risg**

Full Strategic Risk Register / Cofrestr Risg Strategol Llawn

The full SRR is attached for the Committee for information and the SRR within the remit of this Committee (SRR 6) is taken in the private session. / Mae'r CRS llawn wedi'i atodi i'r Pwyllgor er gwybodaeth ac mae'r CRS o fewn cylch gorchwyl y Pwyllgor hwn (CRS 6) yn cael ei gymryd yn y sesiwn breifat.

 10.3 ACGC 2025\_05\_08 - Strategic Risk Register.pdf (30 pages)

### **10.4. Governance and Accountability / Llywodraethu ac Atebolrwydd**

None / Dim

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**12:55 - 13:00**  
5 min

## **11. Closing Administration / Materion Gweinyddol i Gloi**

(12:55 - 13:00)

- Date of Next Meeting / Dyddiad y Cyfarfod Nesaf  
23 June 2025 / 23 Mehefin 2025
- Committee Feedback / Adborth y Pwyllgor