

Open Session: Part B and A - Audit and Corporate Governance Committee / Sesiwn Agored: Rhannau B ac A - Pwyllgor Archwilio a Llywodraethu Corfforaethol

Mon 23 March 2026, 10:35 - 13:00

Agenda

Part B / Rhan B

10:35 - 10:35 **1. Welcome, Introductions and Apologies for Absence / Croeso, Cyflwyniadau ac Ymddiheuriadau am Absenoldeb**
0 min

(10:35 - 10:35) (0 minutes/munud)

Kate Young

Chair / Chadeirydd

10:35 - 10:35 **2. Declarations of Interest / Datgan Buddiannau**
0 min

(10:35 - 10:35) (0 minutes/munud)

Kate Young

Chair / Chadeirydd

10:35 - 10:45 **3. NHS Performance and Improvement / Perfformiad a Gwella GIG Cymru**
10 min

(10:35 - 10:45) (10 minutes/munud)

3.1. NHS Wales Performance and Improvement - Quarterly Corporate Governance Assurance Report / Perfformiad a Gwelliant GIG Cymru - Adroddiad Sicrwydd Llywodraethu Corfforaethol Ansawdd

Sophie Fuller

Assistant Director Of Corporate Governance and Business Support / Cyfarwyddwr Cynorthwyol Llywodraethu Corfforaethol a Chymorth Busnes

 3.1 ACGC 2026_03_23 - 20260323 NHSPI ACGC Report 3.pdf (9 pages)


3.2. NHS Wales Performance and Improvement Internal Audit / Perfformiad a Gwelliant GIG Cymru Archwilio Mewnol

Paul Dalton

Head of Internal Audit, NHS Wales Shared Services Partnership - Audit and Assurance Services / Pennaeth Archwilio Mewnol, Partneriaeth Cydwasaethau GIG Cymru - Gwasanaethau Archwilio a Sicrwydd

 3.2 ACGC 2026_03_23 - NHSP&I - Progress report - March 2026.pdf (4 pages)

 3.2 ACGC 2026_03_23 - NHS P&I Risk Management Final Internal Audit Report.pdf (8 pages)

 3.2 ACGC 2026_03_23 - NHS Wales P&I - 2026-27 - Internal Audit Plan.pdf (5 pages)

10:45 - 10:45 **4. Closing Administration / Materion Gweinyddol i Gloi**
0 min

Date of Next Meeting / Dyddiad y Cyfarfod Nesaf

Part A / Rhan A

10:45 - 10:45
0 min

5. Welcome, Introductions and Apologies for Absence / Croeso, Cyflwyniadau ac Ymddiheuriadau am Absenoldeb

(10:45 - 10:45) (0 minutes/munud)

Kate Young

Chair / Chadeirydd

10:45 - 10:45
0 min

6. Declarations of Interest / Datgan Buddiannau

(10:45 - 10:45) (0 minutes/munud)

Kate Young

Chair / Chadeirydd

10:45 - 11:30
45 min

7. Internal, External and Clinical Audit / Archwilio Mewnol, Allanol a Chlinigol

7.1. Internal Audit / Archwilio Mewnol

(10:45 - 11:00) (15 minutes/munud)


For Assurance / I'w sicrhau

Paul Dalton

Head of Internal Audit, NHS Wales Shared Services Partnership - Audit and Assurance Services / Pennaeth Archwilio Mewnol, Partneriaeth Cydwasaethau GIG Cymru - Gwasanaethau Archwilio a Sicrwydd

- Progress Report / Adroddiad Cynnydd
- Internal Audit Work Plan 2026/27 / Cynllun Gwaith Archwilio Mewnol 2026/27
- Reports / Adroddiadau:
 - Financial Management Internal Audit Final Report (Substantial Assurance) / Adroddiad Terfynol Archwilio Mewnol Rheolaeth Ariannol (Sicrwydd Sylweddol)
 - Digital Audit Logging (Reasonable Assurance) / Cofnodi Archwilio Digidol (Sicrwydd Rhesymol)

 7.1 ACGC 2026_03_23 - PHW - Progress report - March 2026.pdf (6 pages)

 7.1 ACGC 2026_03_23 - PHW/NHST - Internal Audit Plan 2026-27.pdf (24 pages)

 7.1 ACGC 2026_03_23 - PHW 25-26 03 Financial Management Final Internal Audit Report v2.pdf (7 pages)

 7.1 ACGC 2026_03_23 - PHW-2526-07 Digital Audit Logging Final IA Report.pdf (9 pages)

7.2. External Audit / Archwilio Allanol

(11:00 - 11:15) (15 minutes/munud)

For Assurance / I'w sicrhau

Anne Beegan

Audit Wales / Archwilio Cymru

- Audit Wales Update / Diweddariad Archwilio Cymru
- Draft External Audit Work Plan 2026/2027

 7.2 ACGC 2026_03_23 - Audit Wales ARAC Update 2026 03.pdf (10 pages)

 7.2 ACGC 2026_03_23 - PHW-2526-07 Digital Audit Logging Final IA Report.pdf (9 pages)

7.3. Audit Recommendations Tracker / Traciwr Argymhellion Archwilio

(11:15 - 11:20) (5 minutes/munud)

For Assurance / I'w sicrhau

Liz Blayney

Deputy Board Secretary and Deputy Head of Board Business Unit / Dirprwy Ysgrifennydd y Bwrdd a Dirprwy Bennaeth Uned Fusnes y Bwrdd

- 📄 7.3 ACGC 2022_03_23 - Audit Action Tracker Cover.pdf (8 pages)
- 📄 7.3 ACGC 2026_03_23 - Appendix 1 Summary of Audit Tracker.pdf (18 pages)
- 📄 7.3ACGC 2026_03_26 - Audit Tracker Full.pdf (9 pages)

Break / Egwyl

(11:20 - 11:30 – 10 minutes/munud)

11:30 - 11:45 8. Risk / Risg

15 min

8.1. Risk Management Maturity Plan and Annual Review of Risk Framework / Cynllun Aeddfedrwydd Rheoli Risg ac Adolygiad Blynyddol o'r Fframwaith Risg

(11:30 - 11:40) (10 minutes/munud)

For Assurance / I'w sicrhau

Claire Birchall

Executive Director Nursing, Quality, and Information Governance / Cyfarwyddwr Gweithredol Nyrsio, Ansawdd a Llywodraethu Integredig

- 📄 8.1 ACGC 2026_03_23 - Risk Management Framework Annual Review Cover Paper.pdf (5 pages)
- 📄 8.1 ACGC 2026_03_23 - Appendix 1 Risk Management Maturity Plan Objectives.pdf (3 pages)
- 📄 8.1 ACGC 2026_03_23 - Appendix 2 RMDP Objectives Update.pdf (6 pages)

8.2. Corporate Risk Register / Cofrestr Risgiau Corfforaetho

(11:40 - 11:45) (5 minutes/munud)

For Assurance / I'w sicrhau

Danielle Gething

Head of Risk Management /Pennaeth Rheoli Risg

- 📄 8.2 ACGC 2026_03_26 - ACGC - CRR Cover Paper 23.03.2026.pdf (12 pages)
- 📄 8.2 ACGC 2026_03_26 - ACGC - Corporate Risk Register - 10.03.2026.pdf (3 pages)

11:45 - 12:30 9. Governance and Accountability / Llywodraethu ac Atebolrwydd

45 min

9.1. Minutes 16 December 2025, Action Log and Matters Arising / Cofnodion 16 Rhagfyr 2025, Log Gweithredu a Materion yn Codi Cyfarfod

(11:45 - 11:50) (5 minutes/munud)

For Approval / I'w Cymeradwyo

- 📄 9.1 ACGC 2026_03_23 - ACGC Public Unconfirmed Minutes Parts A and B 16 December 2025.pdf (12 pages)
- 📄 9.1 ACGC 2026_03_23 - ACGC Public Unconfirmed Minutes WELSH Parts A and B 16 December 2025.pdf (12 pages)
- 📄 9.1 ACGC 2026_03_23 ACGC Public Action Log.pdf (1 pages)


9.2. Performance Management Framework / Fframwaith Rheoli Perfformiad

(11:50 - 12:00) (10 minutes/munud)

For Assurance / I'w sicrhau

Angela Williams

Interim Executive Director of Operations and Finance / Cyfarwyddwr Gweithredol Dros Dro Gweithrediadau a Chyllid

 9.2 ACGC 2026_03_23 - Assurance processes for organisational performance management - ACGC Performance Framework March 2026 FINAL1.pdf (31 pages)

9.3. Information Governance Assurance Update / Diweddariad ar Sicrwydd LlywodraethuIntegredig

(12:00 - 12:10) (10 minutes/munud)

For Assurance / I'w sicrhau

Claire Birchall

Executive Director Nursing, Quality, and Information Governance / Cyfarwyddwr Gweithredol Nyrsio, Ansawdd a Llywodraethu Integredig

 9.3 ACGC 2026_03_23 - Integrated Governance Performance Report Q3.pdf (8 pages)

9.4. Policy for Approval / Polisi i'w cymeradwyo

(12:10 - 12:15) (5 minutes/munud)

Counter Fraud Policy

Henry Bales

Counter Fraud Specialist

 9.4 ACGC 2023_03_23 - Draft Counter Fraud Policy for approval.pdf (45 pages)

9.5. Bi Annual Governance Update / Diweddariad Llywodraethu Chwemisol

(12:15 - 12:25) (10 minutes/munud)

For Assurance / I'w sicrhau

Liz Blayney

Deputy Board Secretary and Deputy Head of Board Business Unit / Dirprwy Ysgrifennydd y Bwrdd a Dirprwy Bennaeth Uned Fusnes y Bwrdd

 9.5 ACGC 2026_03_23 - Governance Update Cover Report.pdf (16 pages)

 9.5 ACGC 2026_03_23 - Register of Interests Board Members Appendix 1.pdf (3 pages)

 9.5 2026_03_23 - Register of Gifts Hospitality and Sponsorship 2025-26 - Appendix 3.pdf (1 pages)

 9.5 ACGC 2026_03_23 - Appendix 4 - Full WHC Log 01 April 2025 to 06 March 2026.pdf (5 pages)

 9.5 ACGC 2026_03_23 - Appendix 5 Active Joint Working Framework List.pdf (4 pages)

 9.6 ACGC 2026_03_23 - Appendix 6 Inactive Joint Working Framework List.pdf (3 pages)

 9.5 ACGC 02026_03_23 - Governance Update Appendix 7 Policy Register Extract.pdf (1 pages)

9.6. Ratification of Chair's Action / Cadarnhau Camau Gweithredu'r Cadeirydd

 9.6 ACGC 2026_03_23 - Ratification of Chairs Action.pdf (3 pages)

 9.6 ACGA 2026_03_23 - Digital Supplier Management Policy.pdf (11 pages)


9.7. Compliance with Code of Governance Self Assessment / Cydymffurfio â'r CodHunanasesu Llywodraethu


(12:25 - 12:30) (5 minutes/munud)

For Assurance / I'w sicrhau

Liz Blayney

Deputy Board Secretary and Deputy Head of Board Business Unit / Dirprwy Ysgrifennydd y Bwrdd a Dirprwy Bennaeth Uned Fusnes y Bwrdd

 9.7 ACGC 2026_03_23 - Cover Report - Compliance with Code of Governance Self Assessment.pdf (3 pages)

 9.7 ACGC 2026_03_23 - Compliance with Code of Governance Self Assessment.pdf (6 pages)

12:30 - 12:45 10. For Recommendation to Board / Er Argymhelliad i'r Bwrdd

15 min

(12:30 - 12:45) (15 minutes/munud)

Liz Blayney


Deputy Board Secretary and Deputy Head of Board Business Unit / Dirprwy Ysgrifennydd y Bwrdd a Dirprwy Bennaeth Uned Fusnes y Bwrdd

10.1. Committee Annual Report 2025/26, Review of Terms of Reference and Review of Committee Effectiveness / Adroddiad Blynyddol Pwyllgor 2025/26, Adolygiad o'r Cylch Gorchwyl ac Adolygiad o Effeithiolrwydd Pwyllgorau

- Committee Annual Report 2025/26
- Committee Effectiveness
- Committee Terms of Reference
- Committee Work Plan 2026/27

 10.1 ACGC 2026_03_23 - Committee Annual Report Cover Paper.pdf (5 pages)

 10.1 ACGC 2026_03_23 - Draft ACGC Annual Report and Committee Effectiveness 2025-26.pdf (22 pages)

 10.1 ACGC 2026_03_23 - ACGC Committee Work Plan 2026-2027.pdf (2 pages)

12:45 - 12:45 11. For Information / Er Gwybodaeth

0 min

(12:45 - 12:45) (0 minutes/munud)

11.1. Finance, Procurement and Counter Fraud / Cyllid, Caffael ac Atal Twyll

None / Dim

11.2. Internal, External and Clinical Audit / Archwilio Mewnol, Allanol a Chlinigol

None / Dim

11.3. Managing Risk / Rheoli Risg

Full Strategic Risk Register / Cofrestr Risg Strategol Llawn

The full SRR is attached for the Committee for information and the SRR within the remit of this Committee (SRR 6) is taken in the private session. / Mae'r CRS llawn wedi'i atodi i'r Pwyllgor er gwybodaeth ac mae'r CRS o fewn cylch gorchwyl y Pwyllgor hwn (CRS6) yn cael ei gymryd yn y sesiwn breifat.

*** Full SRR will be taken from BET 18 March meeting and added to this bundle thereafter.

11.4. Governance and Accountability / Llywodraethu ac Atebolrwydd

None / Dim

11.5. Committee Work Plan / Cynllun Gwaith y Pwyllgor

2025/26 Work Plan / Cynllun Gwaith

 11.5 ACGC 2026_03_23 - ACGC Work Plan 2025-2026.pdf (3 pages)

12:45 - 12:45 12. Closing Administration / Materion Gweinyddol i Gloi

0 min

(12:45 - 12:45)

- Date of Next Meeting / Dyddiad y Cyfarfod Nesaf
7 May 2026 / 7 Mai 2026
- Committee Feedback / Adborth y Pwyllgor