

RAG Rating/Status

At risk	Red - Action date passed or revised date needed
On track	Yellow - Action on target to be completed by agreed/revised date
Complete	Green- Action complete
No longer needed	Blue - Action to be removed and/or replaced by new action

AUDIT AND CORPORATE GOVERNANCE COMMITTEE								
Meeting Item Reference	Action Reference	Lead	Meeting Item Title	Details of action	Update on progress	Original target date	Revised target date	RAG rating/Status
OPEN ACTIONS FOR REVIEW								
				None				
OPEN ACTIONS - IN PROGRESS BUT NOT YET DUE								
				None				
ACTIONS RECOMMENDED TO BE CLOSED AT (10 September 2024) MEETING								
ACGC 7.2/2024.01.29	ACGC 2024/7	SS	Information Governance Performance Report	The Committee asked SS to provide an update on the Record Management control mechanisms, including how value was demonstrated and how the control mechanism provided assurance that the system was effective.	10 September Update: An update on records management has been included in the Integrated Governance Report on the Agenda in September. Propose the action be closed. 9 May Update: to be provided at ACGC in September as more indepth look at records management.	10 September 2024		Complete
ACGC 6.2/2024.03.19	ACGC 2024/17	SS	Information Governance Performance Report	SS told the Committee that benefits realisation was an integral part of the programme, and he would bring a paper or presentation to the Committee near the end of the roll out programme giving assurance to the Committee of the Records Management Programme.	10 September Update: An update on records management has been included in the Integrated Governance Report on the Agenda in September. Propose the action be closed. 9 May Update: to be provided at ACGC in September as more indepth look at records management.	10 September 2024		Complete
ACGC 6.2/2024.03.19	ACGC 2024/16	SS	Information Governance Performance Report	The Committee discussed a suggestion for an Internal Audit looking at Freedom of Information and the possibility of raising a Risk concerning Freedom of Information requests to be explored.	10 September 2024 Update: This has been undertaken. Request Action Closed. 9 May Update: Verbal update to be provided.	09 May 2024		Complete
ACGC 4.3/2024.05.09	ACGC 2024/21	AB	External Audit	The Committee asked AB to include Sumina Azam in the Well-being Objectives and Future Generation work	10 September 2024 Update: This will be taken forward at the appropriate time. Request Action closed.			Complete
ACGC 7.3/2024.05.09	ACGC 2024/23	SS	Managing Risk	The Committee raised concerns about two time frames which were quoted in AP 2.2 and AP 3.3. SS agreed to review.	10 September 2024 Update: This will be addressed in the next iteration of SRR going to BET in September. Request Action closed.	10 September 2024		Complete
ACGC 7.3/2024.05.09	ACGC 2024/24	SS	Managing Risk	The Committee noted an inconsistency in the formatting of reporting updates, some were chronological reading down, some were formatted in reverse chronological reading up. SS agreed to address this.	10 September 2024 Update: This will be addressed in the next iteration of SRR going to BET in September. Request Action closed.	10 September 2024		Complete
ACGC 3.2/2024.03.19	ACGC 2024/11	SS	Internal Audit	The Committee asked for further information on why the Organisation had missed the deadline for reporting to the Welsh Risk Pool and asked for an explanation to be brought to the Committee.	10 September 2024 Update: A verbal update will be provided during the meeting. Request Action closed. 9 May Update: A verbal update will be provided at meeting.	09 May 2024		Complete
ACGC 3.3/2024.03.19	ACGC 2024/12	AB	External Audit	The Committee asked how the Digital Deep Dive would take in to account the variances in spend on digital investment in Wales compared to other nations. AB informed the Committee that she would share that information with the Committee after the meeting.	10 September 2024 Update: This is referenced in the Audit Wales Report for 10 September. Request Action Closed. 9 May Update: A verbal update will be provided at meeting.	09 May 2024		Complete
ACGC 4.3/2024.05.09	ACGC 2024/20	AB	External Audit	The Digital Deep Dive which had been deferred to this year, field work would commence as soon as possible. The Local Project work was being finalised and would be reported to the Committee in the next Audit Wales Update.	10 September 2024 Update: This is referenced in the Audit Wales update for 10 September.	10 September 2024		Complete
ACGC 3.2/2024.05.09	ACGC 2024/18	HG/JM	Annual Financial Statements	The Committee asked if some lines on the segmented reporting had moved. HG agreed to review the issue raised and respond and include the Non-Executive Directors into the correspondence.	10 September 2024 Update: A query was raised at the committee meeting in May when the draft accounts were presented. The query was around the segmental reporting in note 36 Operating Segments and the year on year movement in some of the categories reported. Following the audit of this note, Audit Wales identified a classification error of NHS Executive costs between 'Supplies and Services - General' and 'Other'. The error totalled £2.306m and is noted in the audit report. This has been corrected for in the final draft of the accounts. This information has been circulated to NEDs.			Completed
ACGC 4.2/2024.05.09	ACGC 2024/19	PD	Internal Audit	The Committee drew some minor errors to the attention of PD, notably the term Independent Members had been used in place of Non-Executive Directors, on page 9, third paragraph, the substantial assurance and reasonable assurance reports had been erroneously totalled. PD agreed to make these corrections before submitting his final report.	10 September 2024 Update: PD confirmed the changes were made to the report before submitting the final draft.			Completed