

RAG Rating/Status

At risk	Red - Action date passed or revised date needed
On track	Yellow - Action on target to be completed by agreed/revised date
Complete	Green- Action complete
No longer needed	Blue - Action to be removed and/or replaced by new action

AUDIT AND CORPORATE GOVERNANCE COMMITTEE

Meeting Item Reference	Action Reference	Lead	Meeting Item Title	Details of action	Update on progress	Original target date	Revised target date	RAG rating/Status
OPEN ACTIONS FOR REVIEW								
ACGC 6.3/2023. 09.19	ACGC 2023/15	SS/AW	Data Breaches Update	In relation to contract Management, the Committee asked for clarification in the report concerning the procurement responsibilities for ensuring the appropriate processes were in place and adhered to.	29 January 2024 Update: A verbal update will be provided at the meeting.			On track
OPEN ACTIONS - IN PROGRESS BUT NOT YET DUE								
ACTIONS RECOMMENDED TO BE CLOSED AT (29.01.24) MEETING								
ACGC 4.4/2023.03.16	ACGC 2023/3	HG/SS/MM	Strategic Risk Register 6: (Cyber Security)	The Committee discussed the importance of the Cyber Security Mandatory Training module and reflected on whether the interval should be changed to an annual requirement given the heightened risk posed to the Organisation of cyber-attacks. It was agreed that the Information Governance Group would consider this and highlight their findings to the People and Organisational Development Committee for consideration as part of the wider discussion on Statutory and Mandatory training.	29 January 2024 Update: Statutory and Mandatory training has been referred to the People and OD Cookmityte oinb 6 Feb who are reviewing this as part of a wider review of S&M training. Propose Action closed. 19 September Update: This action is in progress and an action will be provided as part of the Cyber update to the Committee in January. Amend date.	19 September 2023	01 January 2024	Complete. Request Action is closed, 29 January 2024
ACGC 3.2/2023. 09.19	ACGC 2023/11	PV/PD	Internal Audit	The Committee asked for clarification on the notes for the Returning to Business as Usual Report which stated that no further work was planned. PD explained that initial meetings had taken place and the brief was being finalised with the Executive Lead. The Committee asked that the timing for responses be reviewed to ensure timely responses to ensure good progress with the plan.	29 January 2024 Update: PD and PV review progress with the plan momnthly and nay issues or delays will be escalated through this mechanism. Propose Actiopn closed.	29 January 2024		Complete. Request Action is closed, 29 January 2024
ACGC 3.2.1/2023. 09.19	ACGC 2023/12	IB transferred to JM	Update: Health Improvement (Population Health) Limited Assurance	The Committee received partial assurance that implementation of the recommendations was progressing and that new systems were being implemented for the management of population health grants, and asked IB and the Working Group to return to the Committee providing further assurance at a future meeting.	29 January 2024 Update: This is on the Agenda for 29 January 2024. Propse action is closed.	29 January 2024		Complete. Request Action is closed, 29 January 2024
ACGC 5.1/2023. 09.19	ACGC 2023/13	HG	Risk Development Plan Update	The Committee observed that tracking Risk Appetite was approved before the IMTP was approved for 2023-24. They asked that strategic priorities were to be considered by the planning team for discussions with Executives as planning for 2023-24 was developed.	29 January 2024 Update: The Board has considered an update on the proposal for the development of the IMTP in December 2023, since then, a full review of risk has taken place and will be reported to the Board in January 2024 and will inform the IMTP. Propose Action is closed.	29 January 2024		Complete. Request Action is closed, 29 January 2024
ACGC 5.1/2023. 09.19	ACGC 2023/14	LB	Risk Development Plan Update	The Committee were informed that due to the timings of the meeting, the update the to Strategic Risk Register was currently in progress and was due to be reported to the Business Executive Team on 20 September. LB agreed to circulate this to the Committee once approved.	29 January 2024 Update: This was circulated by LB on 20 September, and a further update has since been provided to Board in November and January. Propose Action is closed.	29 January 2024		Complete. Request Action is closed, 29 January 2024