

RAG Rating/Status

At risk	Red - Action date passed or revised date needed
On track	Yellow - Action on target to be completed by agreed/revised date
Complete	Green- Action complete
No longer needed	Blue - Action to be removed and/or replaced by new action

AUDIT AND CORPORATE GOVERNANCE COMMITTEE

Meeting Item Reference	Action Reference	Lead	Meeting Item Title	Details of action	Update on progress	Original target date	Revised target date	RAG rating/Status
OPEN ACTIONS FOR REVIEW								
OPEN ACTIONS - IN PROGRESS BUT NOT YET DUE								
ACTIONS RECOMMENDED TO BE CLOSED AT (19.01.23) MEETING								
ACGC_2022_10_13/4.1	ACGC2022/06	HG/AF	Finance & Performance Report	HG noted a recent request from Non-Executive Directors for a statement on what effect the energy price increase might have on the Organisation, and agreed to circulate this to the Committee.	19 January Update: Completed. This was circulated to the Committee and is included for information on the 19 January agenda.			Complete
ACGC_2022_10_13/4.2	ACGC2022/07	JM	Procurement Report	The Committee asked JM for clarification on the reasons for retrospective approval of some of the STA items. JM suggested that training had been identified to try to reduce the use of SQA payments. JM agreed to liaise with the Procurement team and report back to the next Committee meeting on this matter	19 January Update: Completed. The predominant reasons for use of SQA's in procuring goods and services across the Trust are where no competitive market exists or competition is restricted due to technical compatibility. File notes (which is where retrospective approval is sought to procure good and services), are low in number compared to SQA's. These have typically arisen due to a lack of knowledge of procurement processes or due to timing issues of getting STA paperwork submitted. File notes are typically followed up with Advice via email or telephone discussions with individuals. Procurement are also in the process of providing training sessions to Directorates, covering Procurement Rules and processes. During the last 9 months training sessions have been provided to Communications, Health Collaborative, Improvement Cymru and WHOCC. There will be more undertaken in the new financial year with Health Improvement and Screening divisions next on the plan. In addition, Procurement activity (including details on SQAs and File Notes) is now reported on the monthly Directorate Reports so Finance Business Partners can challenge and discuss as part of monthly monitoring.			Complete
ACGC_2022_10_13/4.4	ACGC2022/08	HG	Counter Fraud	The Committee suggested further discussions on cost effectiveness and adopting a risk based approach to the Counter Fraud service be included at a future meeting.	19 January update: A deep dive into counter fraud is included on the workplan for 16 March, and this will be incorporated into the discussion.			Complete
ACGC 2022_05_05/22	ACGC 2022/05	HG	Hosted Bodies- Finance Delivery Unit	HG agreed to circulate a briefing which would outline the arrangements of hosting bodies, governance around this and any other useful information to support Committee members understanding of the process, and how the Trust met its Commitments. Further discussions would then be arranged as necessary.	19 January Update: Action Completed. HG confirmed this is no longer relevant with HSCE, HG emailed the Non Execs to explain background and process. Action to be closed.	13 October		Complete