

Public Health Wales NHS Trust

Audit & Corporate Governance
Committee
Internal Audit Progress Report

March 2023

NWSSP Audit and Assurance Services

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Audit and Assurance Services conform with all Public Sector Internal Audit Standards as validated through the external quality assessment undertaken by the Institute of Internal Auditors.

Acknowledgement

NHS Wales Audit & Assurance Services would like to acknowledge the time and co-operation given by management and staff during the course of this review.

Disclaimer notice - please note

This report has been prepared for internal use only. Audit & Assurance Services reports are prepared, in accordance with the Service Strategy and Terms of Reference, approved by the Audit & Corporate Governance Committee.

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1 Introduction

1.1 This progress report provides the Audit & Corporate Governance Committee (the 'Committee') with the current position regarding the work undertaken by Internal Audit as at **08 March 2023**. This report provides information on the status of progress of our reviews.

1.2 We report the progress made to date against individual assignments along with details regarding the delivery of the plans and any required updates.

2 Reports Issued

2.1 Since the January meeting of the Committee two reports have been finalised, two reports have been issued in draft and we have work in progress for four reviews. A summary of the finalised review is provided below in table 1.

Table 1 – Summary of assurance reviews issued as final

Assignment	Assurance opinion	High	Medium	Low	Total recommendations
Health and Safety	Reasonable	-	2	1	2
Welsh Risk Pool claim process	Substantial	-	-	-	-

3 Delivering the Plan

3.1 Our agreed performance indicators are set out in table 2 below:

Table 2 – Performance Indicators 2022/23

Indicator	Status	Actual	Target	Red	Amber	Green
Report turnaround: time from fieldwork completion to draft reporting [10 days]	Green	71% (5/7)	80%	v>20%	10%<v<20%	v<10%
Report turnaround: time taken for management response to draft report [15 days per Internal Audit Charter]	Green	100% (5/5)	80%	v>20%	10%<v<20%	v<10%
Report turnaround: time from management response to issue of final report [10 days]	Green	100% (5/5)	80%	v>20%	10%<v<20%	v<10%

Feedback

3.2 Our final reports are issued with a post audit questionnaire, which is our way of getting feedback on the audit process so that we can look to make improvements. For 2022/23 we have issued the questionnaires in relation to the finalised reports.

4 Other activity

Meetings

4.1 We continue to meet regularly with Audit Wales colleagues.

Planning for 2023/24

4.2 Our plan for 2023/24 is on the agenda for the Committee.

Table 3 – Programme of work: 2022/23

Planned output	Outline timing	Status	Assurance	Note
Local public health teams	3 2	Final	Substantial	-
Workforce - sickness	2	Final	Reasonable	-
Finance	3-2	Final	Substantial	-
Health & Safety	1 3	Final	Reasonable	-
Welsh Risk Pool (WRP) claim process	4	Final	Substantial	-
Information Governance review	2	Draft	Reasonable	Draft report issued December.
Cyber security	3-4	Draft	Substantial	Draft report issued 14.02.23
Population health awareness	2 3	WIP	-	Fieldwork completed. Report being drafted.
Data - Information provision	1-4	WIP	-	Management requested to move to end of year.
Risk management	4	WIP	-	Fieldwork started 14.02.23
Health Protection	4	WIP	-	Fieldwork started 08.03.23. Management requested to do towards end of Q4