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Iechyd Cyhoeddus  
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Public Health  
Wales

**Name of Meeting**  
Audit and Corporate  
Governance Committee  
**Date of Meeting**  
13<sup>th</sup> October 2022  
**Agenda item:**  
5.2

## Risk Management Development Plan Quarterly Update – Quarter 2

<b>Executive lead:</b>	Rhiannon Beaumont-Wood, Executive Director of Quality, Nursing and Allied Health Professionals
<b>Author:</b>	Beth Osborne, Risk Manager
<b>Approval/Scrutiny route:</b>	Rhiannon Beaumont-Wood, Executive Director of Quality, Nursing and Allied Health Professionals  Business Executive Team (4 October 2022)

**Purpose**

Receive an update on the implementation of the Risk Management Development Plan to take assurance of the progress made in quarter 2.

**Recommendation:**

APPROVE <input type="checkbox"/>	CONSIDER <input type="checkbox"/>	RECOMMEND <input type="checkbox"/>	ADOPT <input type="checkbox"/>	ASSURANCE <input checked="" type="checkbox"/>
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The Committee is asked to:

- Take **assurance** that the implementation of the Risk Management Development Plan is progressing and is on track against expected timelines.
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**Link to Public Health Wales [Strategic Plan](#)**

Public Health Wales has an agreed strategic plan, which has identified seven strategic priorities.

This report contributes to all Strategic Priorities

<b>Strategic Priority</b>	Choose an item.
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<b>Strategic Priority</b>	Choose an item.
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**Summary impact analysis**

<b>Equality and Health Impact Assessment</b>	No decision is required.
<b>Risk and Assurance</b>	This submission is an update on the progress made during Q2 in relation to the Risk Management Development Plan.
<b>Health and Care Standards</b>	This report supports and/or takes into account the <a href="#">Health and Care Standards for NHS Wales</a> Quality Themes  Governance, Leadership and Accountability
<b>Financial implications</b>	The financial implications of failing to manage corporate and strategic risk effectively are significant, both in terms of the potential for loss and also the failure to capitalise on opportunities.
<b>People implications</b>	No people implications.

## 1. Purpose / situation

The Risk Management Development Plan was approved at the Business Executive Team in March 2022. Following the re-purposing of the Quality, Nursing and Allied Health Professionals Directorate, a dedicated full time operational manager resource for risk was put in place in July 2022 and subsequently, activities commenced in earnest to deliver the high-level objectives detailed in the Risk Management Development Plan.

The Risk Management Development Plan consists of seven themes as summarised in the sections below. Each theme details the high-level objectives as set out in the Risk Management Development Plan, an update on progress made during quarter 2, and the Delivery Confidence Assessment.

It is important to note that the themes and activities are interdependent and cannot be progressed in isolation so a number of activities map across various themes.

## 2. Risk Architecture

High Level Objectives	Brief Update	Delivery Confidence Assessment
<ul style="list-style-type: none"><li>Review the risk management architecture and make recommendations for any improvements that can be identified</li></ul>	<ul style="list-style-type: none"><li>Risk Assurance Network set up, currently mapping out the risk architecture across the organisation</li><li>Organisational wide risk registers displayed in Directorate Level Assurance Dashboard and updated on monthly basis</li><li>Discussions taken place with corporate leads to establish potential additional organisational wide risk registers (e.g. Safeguarding and IP&amp;C)</li><li>BET continues to refresh CRR. Leads have been identified to update CRR and SRR on behalf of Execs</li><li>Risk schedule presented for consideration at BET.</li></ul>	

### 3. Risk Strategy

High Level Objectives	Brief Update	Delivery Confidence Assessment
<ul style="list-style-type: none"> <li>To review and revise the current risk appetite against the new organisational priorities</li> <li>To develop a system of establishing risk appetite across all levels of the organisation that aligns with the Board's expectations</li> <li>To significantly raise the level of awareness and understanding of the concept of risk appetite in terms of its fit with the decision making process.</li> </ul>	<ul style="list-style-type: none"> <li>Risk appetite reviewed by BET in last quarter with CRR and SRR refreshed as result. A review of the risk scoring matrix being carried out to reflect the risk appetite in order to roll out to whole organisation.</li> </ul>	

### 4. Risk Protocols

High Level Objectives	Brief Update	Delivery Confidence Assessment
<ul style="list-style-type: none"> <li>Undertake review of the Datix risk module with representation from across the organisation to establish its long term suitability and deliver any</li> </ul>	<ul style="list-style-type: none"> <li>As part of the risk architecture mapping out process, this will establish what risks are being recorded locally and not on Datix Web</li> <li>There has been a national delay in the implementation of the risk module in DatixCloud. Expected that the opportunity to test module will be available at the end of September</li> </ul>	<p>The risk architecture mapping needs to be completed in order to identify in which meetings risk needs to</p>

<p>short/long terms improvements that can be made to the existing system</p> <ul style="list-style-type: none"> <li>• Review and update the organisations Risk Policy and Procedure</li> <li>• Embed risk management as a standard agenda item in relevant meetings across the organisation levels.</li> </ul>		<p>be included as a standard agenda item.</p>
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## 5. Planning and Designing

High Level Objectives	Brief Update	Delivery Confidence Assessment
<ul style="list-style-type: none"> <li>• Develop the resources required to enable and empower our people to actively seek out and identify risks and opportunities.</li> </ul>	<ul style="list-style-type: none"> <li>• The IMTP guidance for risk has been brought up to date and sessions arranged with enabling areas involved in this work (i.e. finance, workforce, quality, risk etc.) to support the production of Directorate plans</li> <li>• Strategic level specialist risk advice is being procured with non-recurrent investment monies to inform current and future risk management needs.</li> <li>• Risk involvement in the Community of Practice to support risk management in project activities</li> <li>• Revised risk training package is currently been developed and tested.</li> </ul>	

## 6. Risk Implementing and Benchmarking

High Level Objectives	Brief Update	Delivery Confidence Assessment


<ul style="list-style-type: none"> <li>Review and revise the roles and responsibilities of Committees in the risk management system</li> <li>Clarify the role of the Senior Leadership Team in both Corporate and Directorate risk management</li> </ul>	<ul style="list-style-type: none"> <li>A review of Committee roles and responsibilities due in the next quarter. An investment bid has been submitted to employ a consultant for 20 days to support this work</li> <li>Initial discussions taken place to clarify role of Leadership team. In-depth discussion with the Leadership Team is scheduled for the 20<sup>th</sup> October.</li> </ul>	
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## 7. Measuring and Monitoring

High Level Objectives	Brief Update	Delivery Confidence Assessment
<ul style="list-style-type: none"> <li>Develop effective performance metrics for the risk management system.</li> </ul>	<ul style="list-style-type: none"> <li>There are no objectives for this theme scheduled to be delivered in this quarter.</li> </ul>	

## 8. Learning and Reporting

High Level Objectives	Brief Update	Delivery Confidence Assessment
<ul style="list-style-type: none"> <li>Data pulled into the PAD and DAD demonstrates a true reflection of the risks recorded in Datix (and SharePoint) and managed within agreed performance indicators, and standard reports/narrative templates developed</li> <li>Ensuring learning is identified and drawn from risk/concerns</li> </ul>	<ul style="list-style-type: none"> <li>Directorate Level Assurance Dashboard updated on a monthly basis and includes both Directorate (or lower) Risk Registers and Organisational wide Risk Registers for risks recorded on DatixWeb</li> <li>The CRR has been displayed in the Performance and Assurance Dashboard for a number of months, the SRR is a new development to the suite</li> <li>Initial discussions relating to development of an Executive Issues Log with BET</li> </ul>	

data in order to implement improvements/support business cases.	<ul style="list-style-type: none"><li>• Data analysis and the triangulation of risks, incidents and complaints has strengthened further.</li></ul>	
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## 9. Recommendation

The Committee is asked to:

- Take **assurance** that the implementation of the Risk Management Development Plan is progressing and is on track against expected timelines.